

# Transgenic trees

*Remarkable progress,  
extraordinary constraints*

*Steve Strauss*

*Distinguished Professor*

*Oregon State University*

*Steve.Strauss@OregonState.Edu*

**OSU**  
Oregon State  
UNIVERSITY

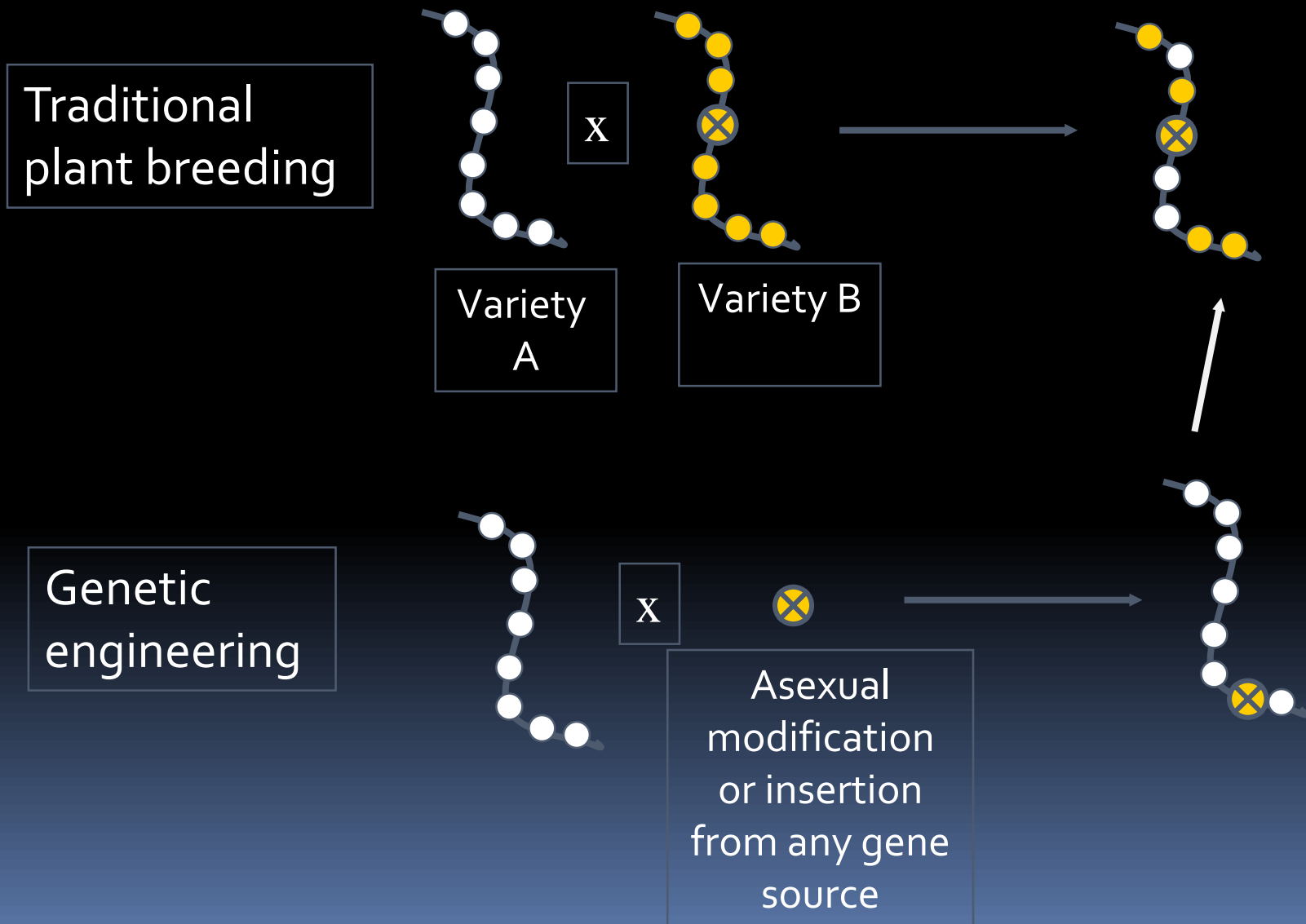


# Goals for today

- GMOs defined, value of GMOs for trees and other woody perennials
  - Horticulture and forestry
- Overview of advanced GMO varieties in production or developmental pipelines
  - Examples from TBGRC Coop over the years
- Constraints and solutions

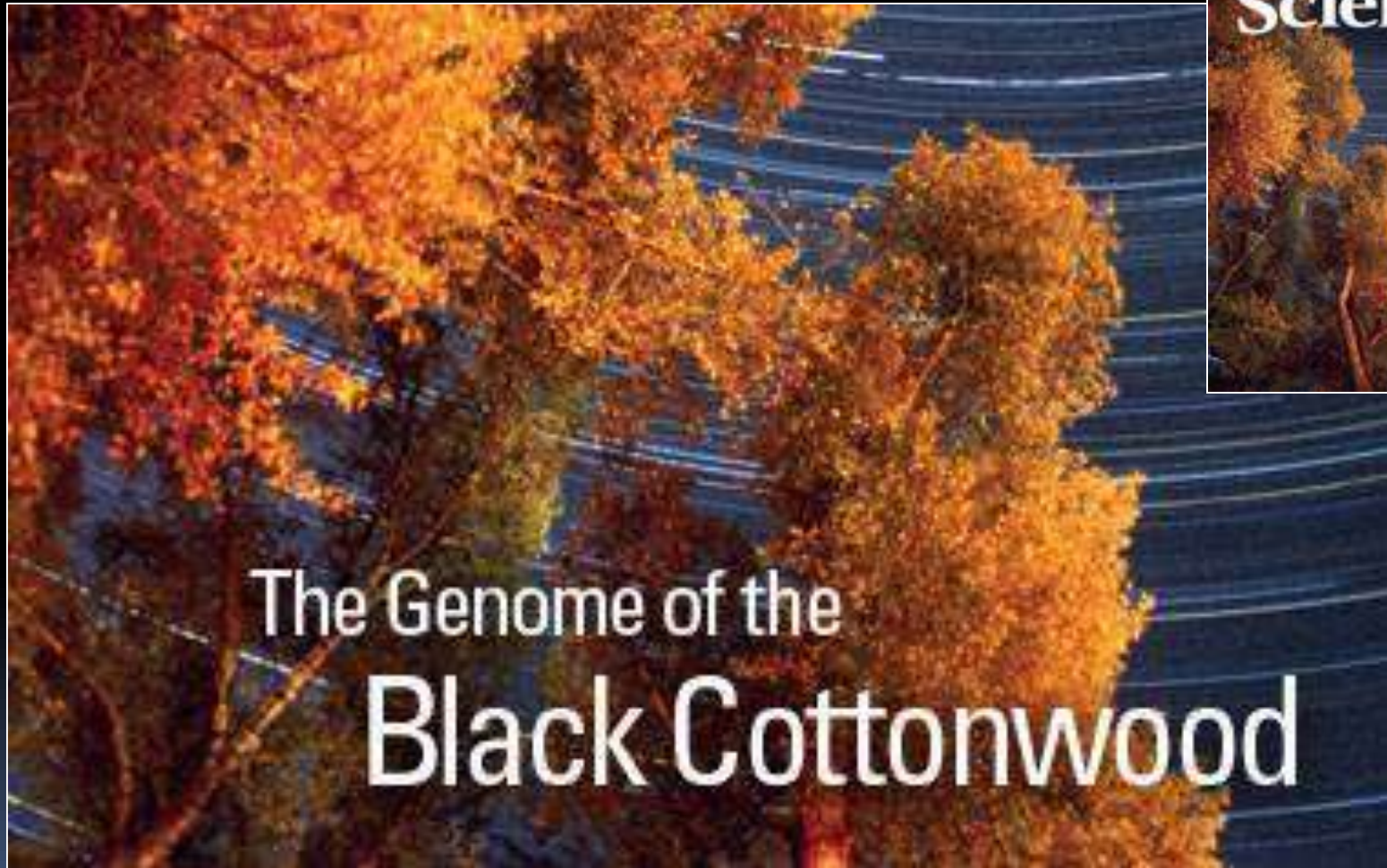


# GMO method (genetic engineering) defined



# Genomic progress helps lots

Poplar genome sequence – 3rd plant sequenced!



15 SEPTEMBER 2006 VOL 313 SCIENCE [www.sciencemag.org](http://www.sciencemag.org)

Eucalypt  
genome,  
transcriptome  
also in place

nature International weekly journal of science

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Archive > Volume 510 > Issue 7505 > Articles > Article

NATURE | ARTICLE OPEN



日本語要約

## The genome of *Eucalyptus grandis*

Alexander A. Myburg, Dario Grattapaglia, Gerald A. Tuskan, Uffe Hellsten, Richard D. Hayes, Jane Grimwood, Jerry Jenkins, Erika Lindquist, Hope Tice, Diane Bauer, David M. Goodstein, Inna Dubchak, Alexandre Poliakov, Eshchar Mizrachi, Anand R. K. Kullam, Steven G. Hussey, Desre Pinard, Karen van der Merwe, Pooja Singh, Ida van Jaarsveld, Orzenil B. Silva-Junior, Roberto C. Togawa, Marilia R. Pappas, Danielle A. Faria, Carolina P. Sansaloni ✉ *et al.*



## The floral transcriptome of *Eucalyptus grandis*

Kelly J. Vining<sup>1</sup>, Elisson Romanel<sup>2</sup>, Rebecca C. Jones<sup>3</sup>, Amy Klocko<sup>1</sup>, Marcio Alves-Ferreira<sup>4</sup>, Charles A. Hefer<sup>5</sup>, Vindhya Amarasinghe<sup>1,6</sup>, Palitha Dharmawardhana<sup>6</sup>, Sushma Naithani<sup>6</sup>, Martin Ranik<sup>7</sup>, James Wesley-Smith<sup>8</sup>, Luke Solomon<sup>9</sup>, Pankaj Jaiswal<sup>6</sup>, Alexander A. Myburg<sup>6</sup> and Steven H. Strauss<sup>10</sup>

<sup>1</sup>Center for Genome Research and Bioinformatics, Oregon State University, Corvallis, OR 97331, USA; <sup>2</sup>Departamento de Biotecnologia, Escola de Engenharia de Lorena, Universidade de São Paulo (EEL-USP), CP 116, 13002-810 São Paulo, Brazil; <sup>3</sup>School of Biological Sciences, University of Tasmania, Private Bag 55, Hobart, 7001 TAS, Australia; <sup>4</sup>Laboratório de Genética

Floral transcriptome a collaborative project of TBGRC Coop and Myburg laboratory and supporting companies



Constraints to breeding with trees are great – GMO methods offer very significant additional tools

**Constraints include**

- Long breeding cycle
- Difficult to inbreed
- Difficult to introgress new genes from hybrids
- Hard to find dominant, major genes for desired traits
- Common use of asexually propagated varieties of high value

# GE of diverse value for trees

All demonstrated in the field

- Improved fruit quality/durability
- Resistance to insects and diseases
- Tolerance to salinity and temperature stress
- Phytoremediation of environmental toxins
- Modified properties to improve processing of wood for biofuels and pulp
- Tolerance to herbicides to reduce the environmental impacts, improve efficiency, or reduce costs of weed control treatments

# GE of diverse value for trees

All demonstrated in the field

- Accelerated flowering for faster breeding and research
- Fertility control for control of spread and improved growth
- Improved growth rate and yield
- Synthesis of new, renewable bioproducts such as fragrances and chemical feedstocks



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# RNAi a powerful tool

Medicine



## The Nobel Prize in Physiology or Medicine 2006

"for their discovery of RNA interference - gene silencing by double-stranded RNA"



Photo: L. Cicero/Stanford

**Andrew Z. Fire**

① 1/2 of the prize



Photo: R. Carlin/UMMAS

**Craig C. Mello**

① 1/2 of the prize



# RNAi: Virus-resistant papaya

“Immunization”  
via by  
implanting a  
viral gene in the  
papaya genome  
– RNAi (RNA  
interference)



Courtesy of Denis Gonsalves,  
formerly of Cornell University

**GMO, virus-  
resistant trees**



# HoneySweet plum with RNAi resistance to plum pox virus

Ralph Scorza USDA-ARS

GE

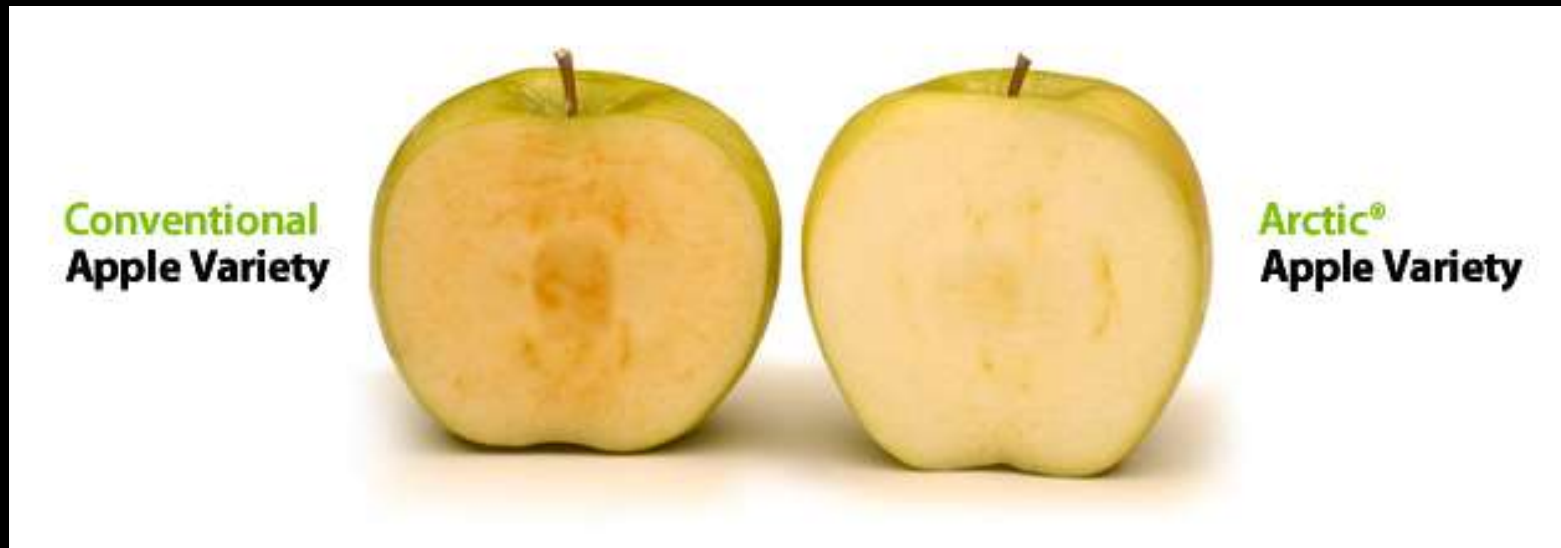


- Virus resistance using this method also successful for cassava and many other species
- RNAi recently demonstrated for insect resistance – corn rootworm product under development

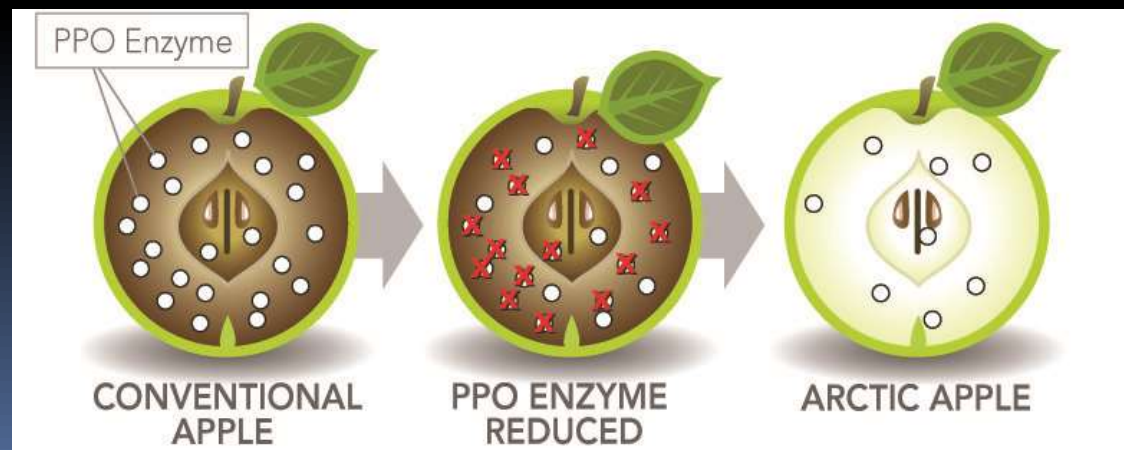
Non-GE

# Non-browning “Arctic Apple”

RNAi suppression of native polyphenol oxidase gene expression



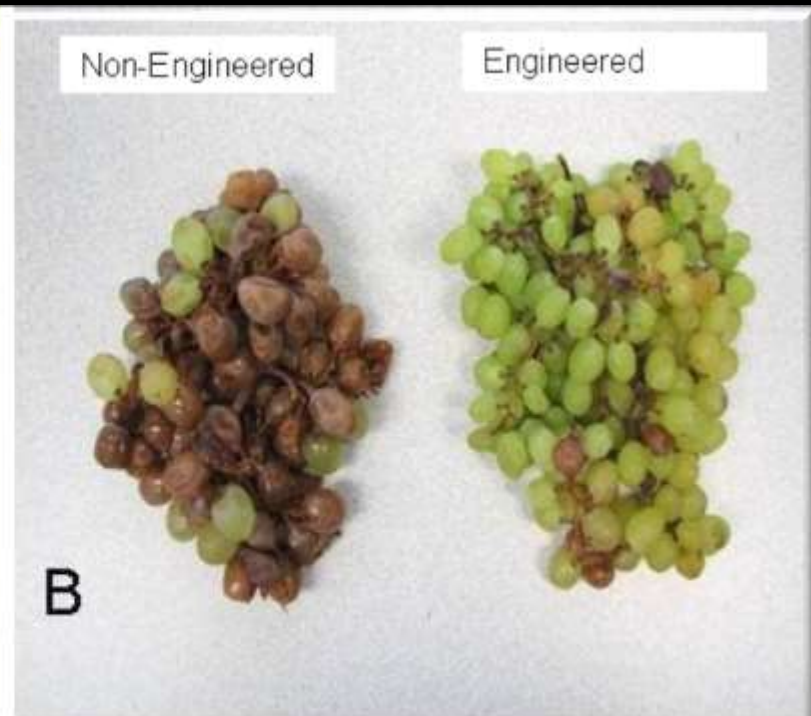
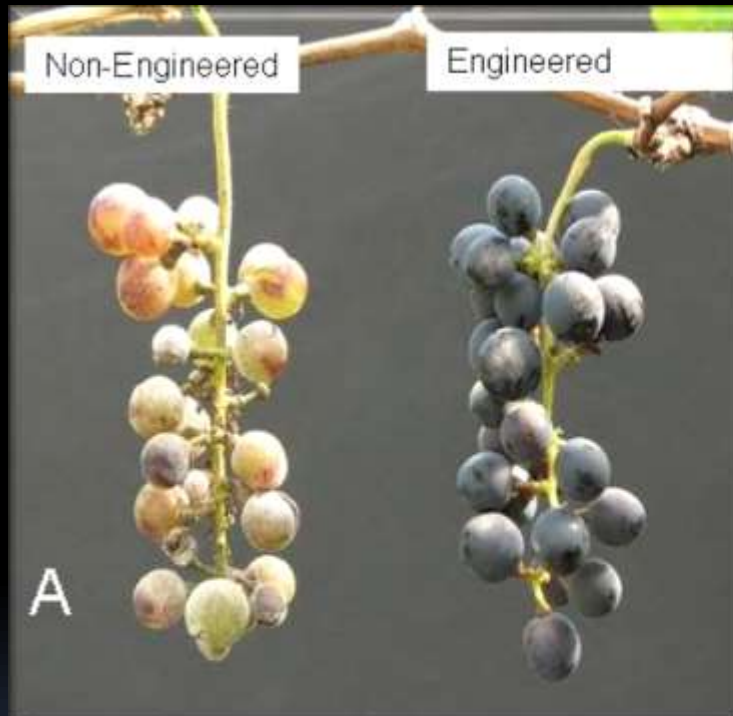
Courtesy of Jennifer Armen,  
Okanagan Specialty Fruits,  
Canada



# Native grape genes used to produce fruit rot resistance

## *Grape VvAlb* gene

## *Grape VvTL-1* gene



A

B

'Syrah'  
Powdery Mildew Resistance

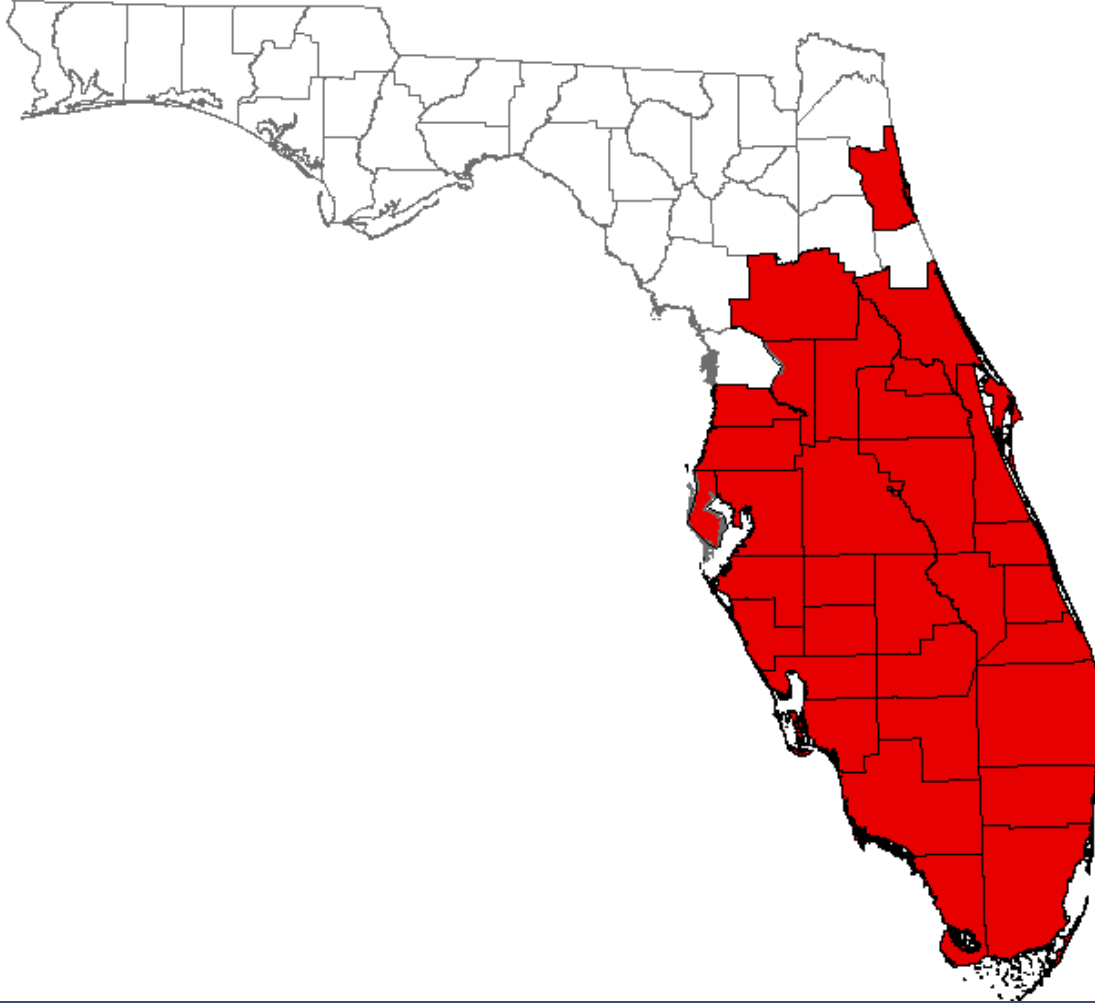
'Thompson Seedless'  
Rot Resistance

*Courtesy of Denis Gray, UF/IFAS Mid-Florida  
Research & Education Center*





# Rapid spread throughout Florida and of great concern in other citrus growing areas



32 counties

# Defensin-like proteins from spinach promising



Courtesy of Eric Mirkov, Texas A & M



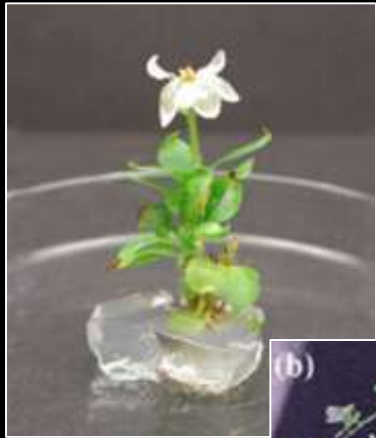
# Insertion of a transgene that elevates natural systemic acquired resistance also promising



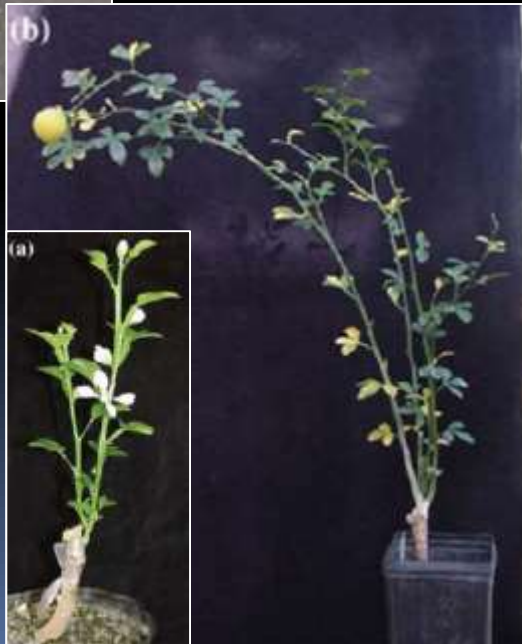
Courtesy of Manjul Dutt and Jude Grosser, Citrus Research and Education Center, Florida, USA

# Overexpression of endogenous flowering genes induce early-flowering in many trees

Apple



Orange



Plum



Poplar





# Rapid flowering of plum in the field to speed virus resistance breeding

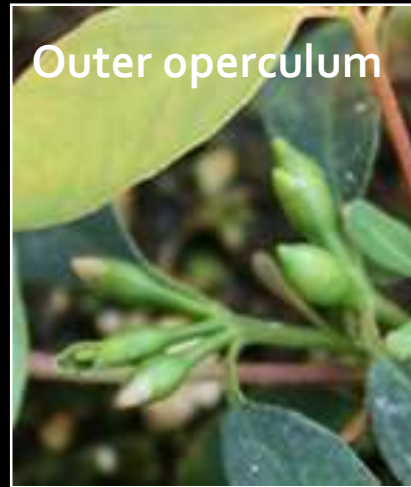


*Courtesy of Ralph Scorza, USDA ARS*



# TBGRC Coop: Early flowering effective in eucalypts

Valuable to speed breeding and genomic selection



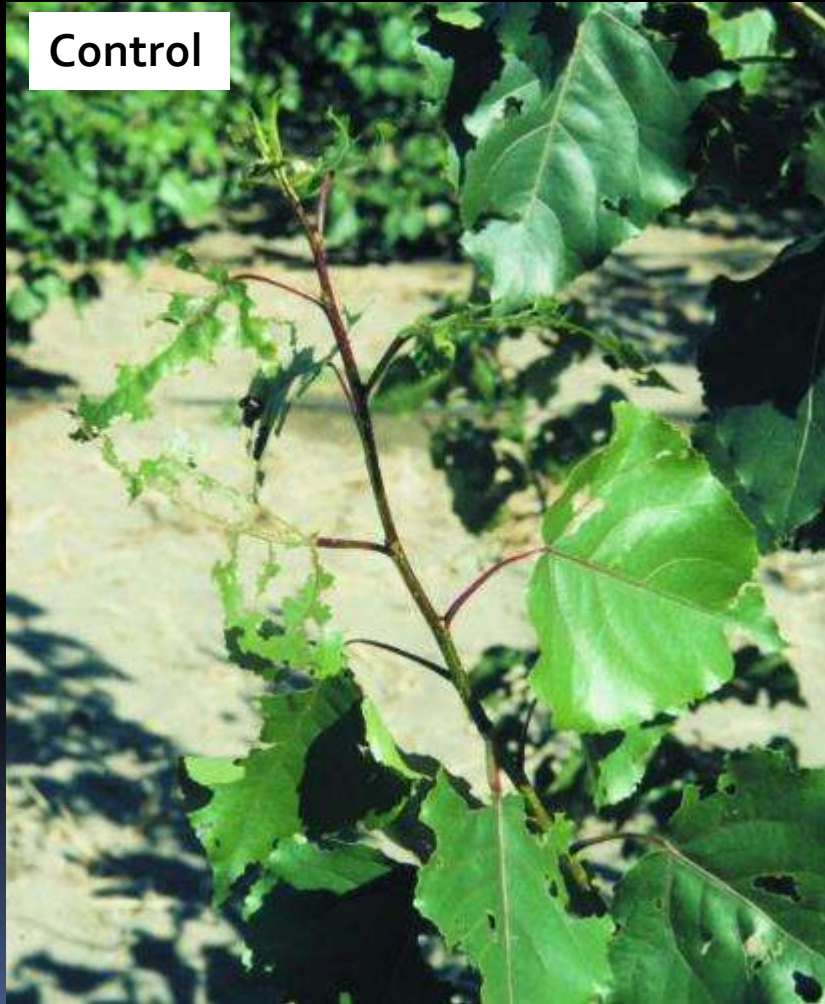
# Caterpillar (lepidopteran)-resistant poplars commercially approved in China - *Bt cry1*

- Trait stable
- Helps to protect non-Bt trees
- Reduced insecticide use
- Improved growth rate



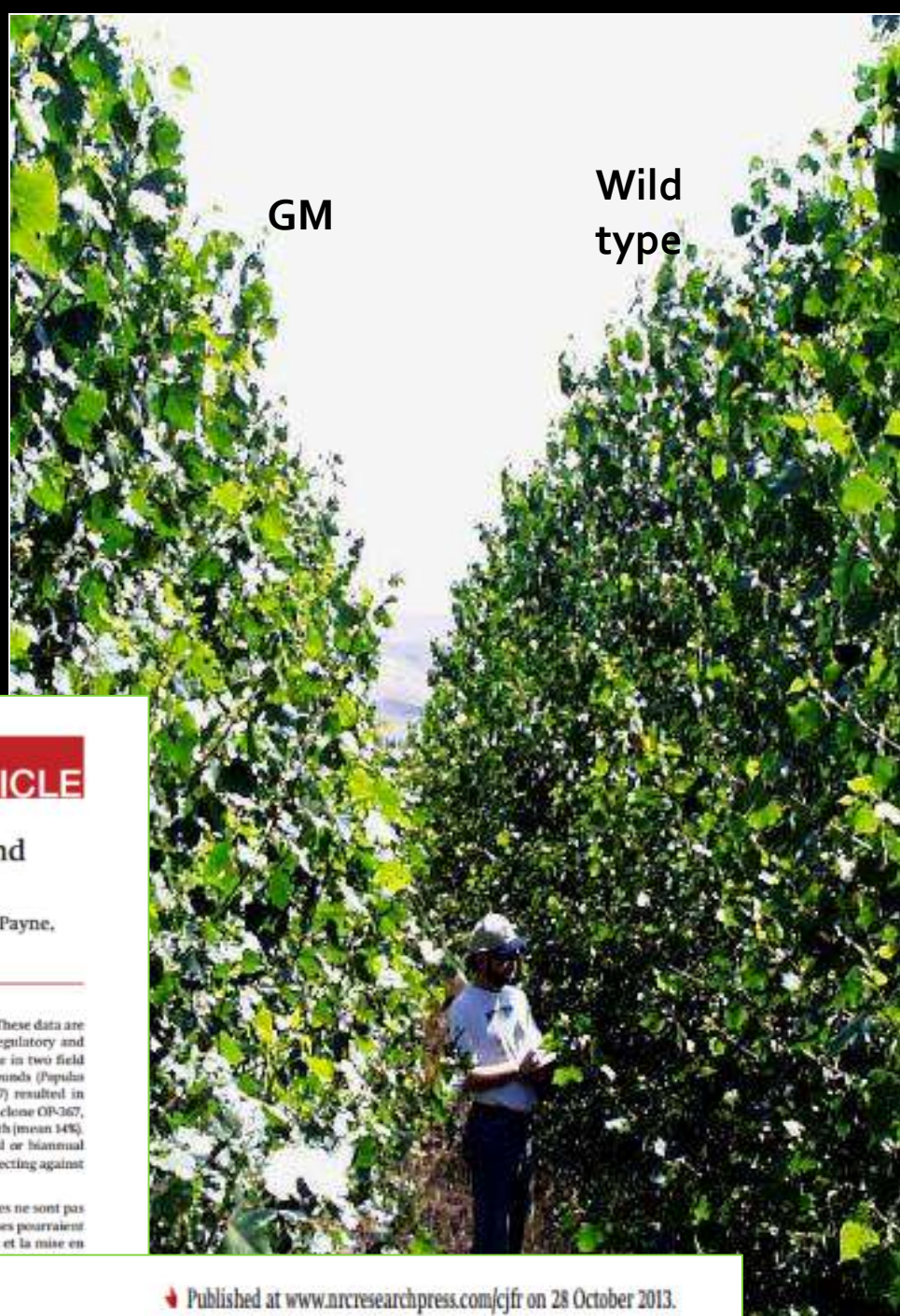


# TBGRC: Beetle resistant Bt-cottonwoods in eastern Oregon field trial





# TBGRC: 10-20% growth benefits despite low insect pressure during field trial



28



ARTICLE

## Bt-Cry3Aa transgene expression reduces insect damage and improves growth in field-grown hybrid poplar

Amy L. Klocko, Richard Meilan, Rosalind R. James, Venkatesh Viswanath, Cathleen Ma, Peggy Payne, Lawrence Miller, Jeffrey S. Skinner, Brenda Oppert, Guy A. Cardineau, and Steven H. Strauss

**Abstract:** The stability and value of transgenic pest resistance for promoting tree growth are poorly understood. These data are essential for determining if such trees could be beneficial to commercial growers in the face of substantial regulatory and marketing costs. We investigated growth and insect resistance in hybrid poplar expressing the *cry3Aa* transgene in two field trials. An initial screening of 502 trees comprising 51 transgenic gene insertion events in four clonal backgrounds (*Populus trichocarpa* × *Populus deltoides*, clones 24-305, 50-197, and 198-434; and *P. deltoides* × *Populus nigra*, clone OP-367) resulted in transgenic trees with greatly reduced insect damage. A large-scale study of 402 trees from nine insertion events in clone OP-367, conducted over two growing seasons, demonstrated reduced tree damage and significantly increased volume growth (mean 14%). Quantification of *Cry3Aa* protein indicated high levels of expression, which continued after 14 years of annual or biannual coppice in a clone bank. With integrated management, the *cry3Aa* gene appears to be a highly effective tool for protecting against leaf beetle damage and improving yields from poplar plantations.

**Résumé :** La stabilité et la valeur de la résistance transgénique aux ravageurs pour favoriser la croissance des arbres ne sont pas bien connues. Ces données sont essentielles si l'on veut déterminer dans quelle mesure de tels arbres transgéniques pourraient être profitables pour des producteurs commerciaux considérant les coûts substantiels reliés à la réglementation et la mise en marché de tels arbres.



# TBGRC: Glyphosate herbicide resistance in the field gave ~ 20% growth improvement

Screen of primary transformants



2 yr-old field trial



Wild type controls

# Lignin-modified trees in Belgium, France gave large improvements in ethanol or pulp yield

## Improved saccharification and ethanol yield from field-grown transgenic poplar deficient in cinnamoyl-CoA reductase

Rebecca Van Acker<sup>a,b</sup>, Jean-Charles Leplé<sup>c</sup>, Dirk Aerts<sup>d</sup>, Véronique Storme<sup>a,b</sup>, Geert Goeminne<sup>a,b</sup>, Bart Ivens<sup>a,b</sup>, Frédéric Légée<sup>e</sup>, Catherine Lapierre<sup>e</sup>, Kathleen Piens<sup>f</sup>, Marc C. E. Van Montagu<sup>a,b,1</sup>, Nicholas Santoro<sup>g</sup>, Clifton E. Foster<sup>g</sup>, John Ralph<sup>h</sup>, Wim Soetaert<sup>d</sup>, Gilles Pilate<sup>c</sup>, and Wout Boerjan<sup>a,b,1</sup>

<sup>a</sup>Department of Plant Production, Ghent University, Ghent, Belgium; <sup>b</sup>Institut de Recherche en Biologie Industrielle, Université de Bourgogne, Dijon, France; <sup>c</sup>Mixte de Recherche 1702, Centre National de la Recherche Scientifique, Ghent University, Ghent, Belgium; <sup>d</sup>Department of Plant Production, Ghent University, Ghent, Belgium; <sup>e</sup>UMR 1018, INRA, Université de Bourgogne, Dijon, France; <sup>f</sup>Department of Plant Production, Ghent University, Ghent, Belgium; <sup>g</sup>Department of Plant Production, Ghent University, Ghent, Belgium; <sup>h</sup>Department of Plant Production, Ghent University, Ghent, Belgium; <sup>1</sup>Department of Plant Production, Ghent University, Ghent, Belgium

Contributed by

Lignin is one of the most abundant natural polymers on Earth. It is an enzymatic product of the phenylpropanoid pathway. Cinnamoyl-CoA reductase (CCR), the specific branch point enzyme in the pathway, is a key regulator of the red xylenes biosynthesis. Wood of the red xylenes trees is characterized by a high lignin content. In this study, we have evaluated the effect of CCR down-regulation on the lignin content and the yield penalty can be overcome.

bioethanol | GM | second-generation bioenergy

Global warming and the depletion of fossil fuels provide a major impetus for the increased interest in renewable energy sources. Liquid biofuels, bioethanol in particular, are currently produced from the readily accessible biomass in corn and



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tively (5–7). Cinnamoyl-CoA reductase (CCR) is the first step of the hydroxycinnamoyl-aldehydes (mainly p-coumaraldehyde) regulation of CCR (13). CCR-down-regulation to wine-red patches along the stem with a reduction in levels of ferulic acid

ing the conversion of lignin to phenolics (1), we have evaluated the effect of CCR down-regulation in field-grown trees. The success of obtaining lignin-free biomass is an essential step in the laboratory because green-

house-derived data cannot a priori be extrapolated to field-grown trees without experimentation. For example, greenhouse-grown trees do not experience the annual cycles of growth and

### Significance

In the transition from a fossil-based to a bio-based economy, bioethanol will be generated from the lignocellulosic biomass



# Freeze-tolerant, male-sterile

## *Eucalyptus*

Proposed for commercial deregulation in USA

Results from first winter in  
South Carolina



Results from second winter  
in Alabama





# Many eucalypt field trials underway



Courtesy of Les Pearson, Arborgen



# Growth improved GE *Eucalyptus* (Futuragene) Proposed for commercial use in Brazil

COURTESY OF SPANNA PHOTO/VEEVA



Eucalyptus plantations near São Paulo in Brazil.

BIOTECHNOLOGY

## Brazil considers transgenic trees

*Genetically modified eucalyptus could be a global test case.*

BY HEIDI LEDFORD

Viewed from above, Brazil's orderly eucalyptus plantations offer a stark contrast to the hurly-burly of surrounding native forests. The trees, lined up like regiments of soldiers on 3.5 million hectares around the country, have been bred over decades to grow quickly.

On 4 September, a public hearing will consider bringing an even more vigorous recruit into the ranks: genetically engineered eucalyptus that produces around 20% more wood than conventional trees and is ready for harvest in five and a half years instead of seven. Brazilian regulators are evaluating the trees for commercial release; a decision could come as early as the end of this year.

Researchers, businesses and activists are watching closely. Eucalyptus (*Eucalyptus* spp.) — native to Australia — is grown on about 20 million hectares throughout the tropics and subtropics, and approval of the genetically engineered trees in Brazil could encourage their adoption elsewhere. "It would have ripple effects

a large scale. The ubiquity of eucalyptus makes Brazil's decision on the modified trees a special concern to environmental activists who oppose the use of genetically modified crops.

"They have become the target of very intensive and emotionally charged debate particularly among the NGOs and nature constituencies," says Walter Kollert, a forestry officer with the Food and Agriculture Organization of the United Nations in Rome.

A consortium of activists opposed to the plan intends to present a letter at the 4 September meeting, urging Brazil's National Technical Biosafety Commission to reject the trees. In all, 259 organizations — 106 of them from Latin America — have signed the letter, which expresses concern that the trees pose risks to the environment and will encourage the expansion of plantations.

The trees were developed by FuturaGene, a biotechnology firm in Rehovot, Israel, that was spun out of the Hebrew University in Jerusalem in 1993. The company found that certain proteins accelerate plant growth by facilitating cell-wall expansion. FuturaGene inserted into

FuturaGene's chief executive Stanley Hirsch is quick to point out the environmental benefits of his company's creation. The trees' speedy growth boosts absorption of carbon dioxide from the air by about 12%, he says, aiding in the fight to reduce greenhouse-gas emissions. The genetically modified trees may also require less land to produce the same amount of wood, reducing the conversion of natural forest into plantations.

Hirsch says that the company has tried to avoid public-relations mistakes made by agricultural biotechnology companies in the past: rather than shun activists, he has invited them to tour the company's field-trial sites. "Some of them were so surprised," he says. "They said, 'Wow, these look just like normal trees.'"

Hirsch's pitch has not convinced everyone. Anne Petermann, executive director of the non-profit organization Global Justice Ecology Project in Buffalo, New York, says that FuturaGene is trying to stave off opposition by 'greenwashing' its product. Faster-growing trees require more water and extract more nutrients from the soil, she adds, and they will only add to the economic incentive to seed more plantations.

Genetically engineered trees do pose some biosafety issues that do not apply to agricultural crops such as maize (corn) or soya, notes forest geneticist Steven Strauss of Oregon State University in Corvallis. They remain in the environment for years, increasing their potential impact on the plants, animals and soil around them. And trees tend to disperse pollen further than crops nearer the ground do, raising concerns about gene flow to native relatives. But eucalyptus has no native relatives in Brazil and is not particularly invasive in most areas of the country, says Strauss.

FuturaGene says that it identified no major environmental problems in eight years of field trials that collected data on everything from gene flow to leaf-litter decomposition to the composition of honey made by bees that visit the trees. Myburg, who does not work with FuturaGene but is familiar with the company's safety data, says that he found the firm's studies to be well designed and thorough.

While FuturaGene tests the waters in Brazil, a US company awaits a regulatory decision regarding its genetically engineered, freeze-tolerant eucalyptus. In 2008, ArborGen of Ridgeville, South Carolina, petitioned the US Department of Agriculture to allow commercialization of the trees in the southeastern United States. Delays of this length are not uncommon in the US regulatory system, says ArborGen's director of regulatory affairs Leslie Pearson.

For now, just the prospect that the trees might be approved has been enough to rally

# Sterility a valuable tool for transgene containment and containing exotics: “Wilding” in New Zealand, South Africa, and others



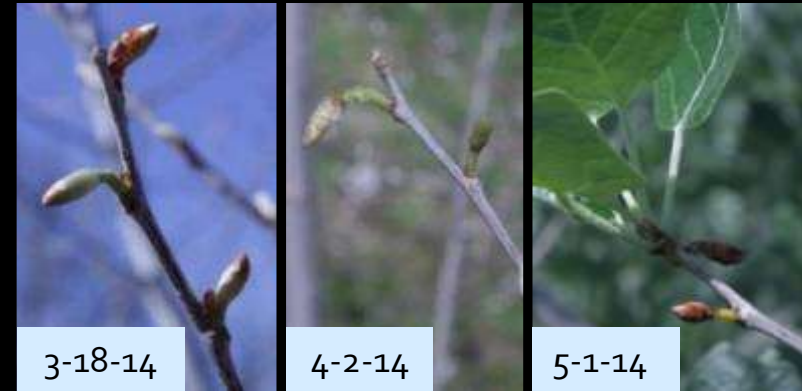
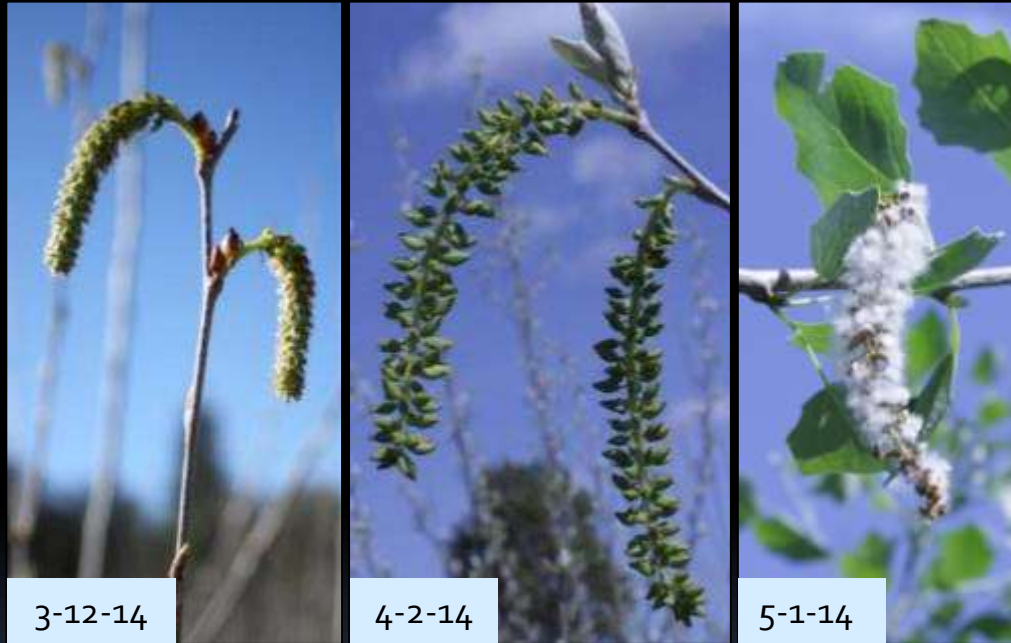


# TBGRC: RNAi for complete sterility

RNAi field trial of poplar in Oregon: 25 constructs, 3 genotypes, 4,000 trees, 9 acres  
(similar studies begun in eucalypts)

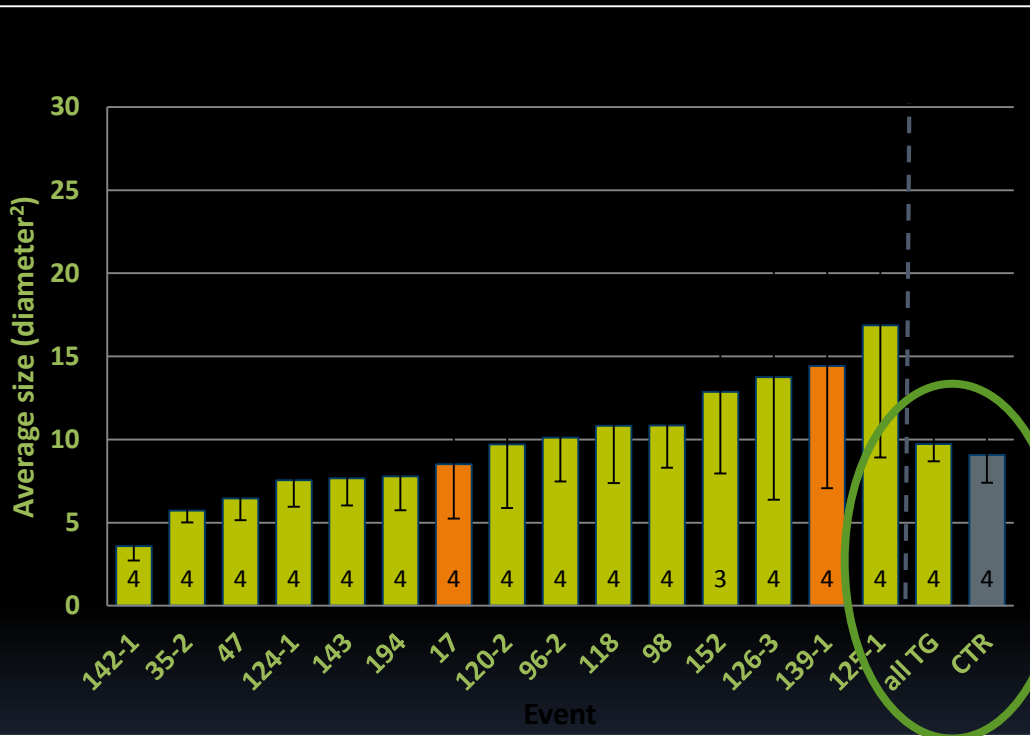


After maturation, RNAi:*LFY* catkins remained tiny and did not produce seeds or cotton





# RNAi:*LFY* trees had robust vegetative growth



Events with tiny flowers had no differences in tree size, total leaf chlorophyll, leaf density or leaf area as compared to controls

# TBGRC studies: Research underway on site-directed mutagenesis in poplar and eucalypts

PLANT BIOTECHNOLOGY

## Zinc fingers on target

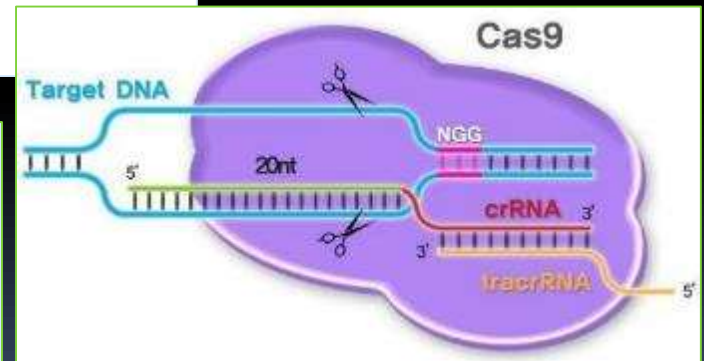
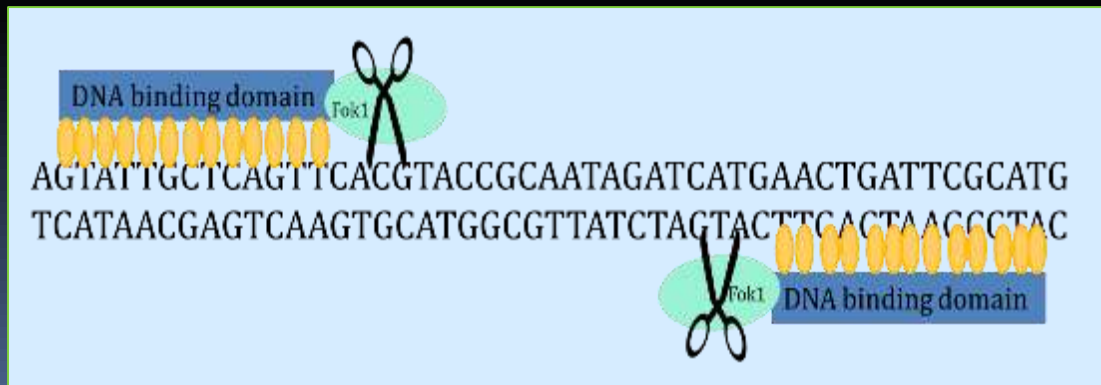
Matthew H. Porteus

The existing methods of creating genetically modified plants are inefficient and imprecise. Zinc-finger technology offers the prospect of opening up a swifter and more exact route for crop improvement.

NEWS & VIEWS

NATURE|Vol 459|21 May 2009

CRISPRs



TALENs

# Many exotic diseases have damaged or ravaged North American forests

## Examples

1892 - White pine blister rust

**1904 - Chestnut blight**

1923 - Port-Orford-cedar root disease

1920s - Beech scale complex

1930 - Dutch elm disease

1967 - Butternut canker

1976 - Dogwood anthracnose

2000s - Sudden oak death



American elm



# American Chestnut most advanced case with GE approach



The screenshot shows the top of the Scientific American website. The main navigation bar includes 'Subscribe', 'News & Features', 'Topics', 'Blogs', 'Videos & Podcasts', and 'Education'. Below this, the article title 'The American Chestnut's Genetic Rebirth' is prominently displayed, along with a sub-headline: 'A foreign fungus nearly wiped out North America's once vast chestnut forests. Genetic engineering can revive them'. The author is listed as 'By William Powell'. A 'More In This Article' sidebar on the right features a small image of a chestnut leaf and the text 'A New Generation of American Chestnut Trees May Redefine America's Forests'. The page also includes a search bar, a sign-in/register link, and a page number '2'.

March 2014 issue  
Scientific American



Courtesy of Bill Powell, SUNY Syracuse, USA

# Crowdfunding campaign to fund GE chestnut work

The screenshot shows a crowdfunding campaign page for the "Ten Thousand Chestnut Challenge". At the top left is the campaign logo, which features a green tree icon and the text "TEN THOUSAND CHESTNUT CHALLENGE". To the right of the logo is the text "Fundraising Ideas" and a search bar with the placeholder text "Title, Zipcode, Name:". Further right are two buttons: "SIGN IN" and "START YOUR CAMPAIGN".

The main heading of the campaign is "Ten Thousand Chestnut Challenge", with the text "ESF SUNY-ESF American Chestnut Project, Campaign Owner" below it. A video player is featured on the left side of the page, showing a woman smiling and leaning against a tree trunk. The video player has navigation arrows and a play button.

On the right side of the page, there is a green button that says "MAKE A DONATION". Below this button is a link that says "Not Ready To Donate? Become a Supporter". Underneath the link is a row of seven circular profile pictures of supporters, each with a small Facebook 'f' icon. Below the profile pictures, the text "378 SUPPORTERS" is displayed. Further down, the amount "\$35,366 RAISED (USD)" is shown in large, bold letters. At the bottom of the right side, there is a progress bar and the text "Goal: \$50,000" and "Days Left: 18".

<https://fundly.com/10-000-chestnut-challenge>

November 17, 2014



# Trees as chemical feedstocks

Prof. Norman Lewis, Washington State University

**The Seattle Times**

Winner of Nine Pulitzer Prizes

## Local News

Originally published Sunday, February 9, 2014 at 9:10 PM

### Rose scent in poplar trees? WSU turns to genetic engineering

A WSU team aims to turn poplars and other fast-growing trees into living factories that churn out valuable chemicals.

By Sandi Doughton

Seattle Times science reporter



Sniff the air around Norman Lewis' experimental poplars, and you won't pick up the scent of roses.

But inside the saplings' leaves and stems, cells are hard at work producing the chemical called 2-phenylethanol – which by any other name would smell as sweet.

Sweeter still is the fact that perfume and cosmetics companies will pay as much as \$30 an ounce for the compound that gives roses their characteristic aroma. Because what Lewis and his colleagues at Washington State University are really chasing is the smell of money.

Production of 2-phenylethanol

Lignin reduction

Fragrances and jet fuel feedstock

# Large scale field trials of a variety of genes and insertions underway



Norman Lewis, Washington State University



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# “Green” certification of forests create severe barriers to research and development

## Plantation Certification & Genetic Engineering FSC's Ban on Research Is Counterproductive

Steven H. Strauss, Malcolm M. Campbell, Simon N. Pryor,  
Peter Coventry, and Jeff Burley

### ABSTRACT

Genetic engineering, also called genetic modification (GM), is the isolation, recombinant modification, and asexual transfer of genes. It has been banned in forest plantations certified by the Forest Stewardship Council (FSC) regardless of the source of genes, traits imparted, or whether for research or commercial use. We review the methods and goals of tree genetic engineering research and argue that FSC's ban on research is counterproductive because it makes it difficult for certified companies to participate in the field research needed to assess the value and biosafety of GM trees. Genetic modification could be important for translating new discoveries about tree genomes into improved growth, quality, sustainability, and pest resistance.

**Keywords:** biotechnology; entomology and pathology; ethics; genetics; silviculture

Genetic engineering, commonly called genetic modification (GM) in much of the world, is the use of recombinant DNA and asexual gene transfer methods to breed more productive or pest-resistant crops. It has been the subject of considerable controversy, with concerns raised from biological, socioeconomic, political, and ethical perspectives. Some of the issues are similar to those raised by the use of molecular biology and genetic engineering in medicine, which we see in the news headlines daily. However, genetic modification in agriculture and forestry raises environmental issues as well.

GM crops, mainly herbicide- and pest-resistant varieties of soybeans, maize, or cotton, have been vigorously adopted by farmers in North America because they are easy to manage and they improve yields, reduce costs, or reduce pesticide ecotoxicity (Carpenter

and Gianessi 2001). However, the controversy, primarily embodied in regulatory barriers to trade of GM crops with Europe and Japan, has slowed their adoption considerably in recent years.

If GM trees are used in forestry in the near future, they are likely to occur primarily in intensively managed environments, such as urban forests or plantations. In urban forestry, genetic modification is expected to help trees adapt to the stresses and special demands of human-dominated systems. Examples would be trees that are more tolerant of heavy metals or other pollutants, resist urban pests or diseases, grow slower, or do not produce fruits when these create hazards in street environments (Brunner et al. 1998).

Plantations, although very different from natural forests in structure and function, are considered part of the spectrum of methods in sustainable forest management (Romm 1994).

Plantations can relieve pressure on natural forests for exploitation and can be of great social value by supplying community and industrial wood needs and fueling economic development. The environmental role of plantations is recognized by the Forest Stewardship Council (FSC), an international body for certification of sustainably managed forests. FSC Principle 10 states that plantations should “complement the management of, reduce pressures on, and promote the restoration and conservation of natural forests” (FSC 2001).

FSC has certified some of the most intensively managed plantations in the world, including poplar plantations and the intensive pine and eucalypt plantations of the Southern Hemisphere. Although many environmental mitigations are built into these certified plantation systems, within the areas dedicated to wood production they function as tree farms. Such intensive plantation systems often use highly bred genotypes, possibly including exotic species, hybrids, and clones, as well as many other forms of intensive silvicultural management. It is in the context of these biointensive systems that the additional expense of GM trees is likely to be worthwhile.

However, FSC currently prohibits all uses of GM trees, and is the only certification system to have done so



Forest Stewardship  
Council

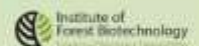
*“...genetically modified  
trees are prohibited...”*

# Forest certification systems universally ban all GM trees – no exemptions

System	Region	GM Tree Approach / Reason
<b>PEFC</b> : Programme for Endorsement of Forest Certification	International	<b>Banned</b> / Precautionary approach based on lack of data
<b>FSC</b> : Forest Stewardship Council	International	<b>Banned</b> / Precautionary approach based on lack of data
<b>CerFlor</b> : Certificação Florestal	Brazil	<b>Banned</b> via PEFC registration / No additional rationale
<b>CertFor</b> : Certificación Forestal	Chile	<b>Banned</b> via PEFC registration / No additional rationale
<b>SFI</b> : Sustainable Forestry Initiative	North America	<b>Banned</b> via PEFC registration / Awaiting risk-benefit data
<b>ATFS</b> : American Tree Farm System	USA	<b>Banned</b> via PEFC registration / No additional rationale
<b>CSA</b> : Canadian Standards Association	Canada	<b>Banned</b> via PEFC registration / Allows public to demand precautionary approach
<b>CFCC</b> : China Forest Certification Council	China	<b>Banned</b> via PEFC registration / No additional rationale

**Responsible Use:  
Biotech Tree  
Principles**

*A publication by the Institute of  
Forest Biotechnology*



# Global admixture of GM and non-GM crops/food create immense coexistence, trade problems under current regulations

Many costly cases of trade disruption and lawsuits with corn, soy, and rice

 **UN News Centre**  
with breaking news from the UN News Service

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## Steady increase in incidents of genetically modified crops found in traded food, UN agency reports

Source: UN Photo/Tobin Jones



Source: UN Photo/Tobin Jones

14 March 2014 – As a result of the increased production of genetically modified crops worldwide, the United Nations food agency warns in a ground-breaking survey that an increasing number of incidents of low levels of genetically modified organisms (GMOs) are being reported in traded food and feed.

18  
Like  
21



# Oregon GMO “wheat-gate” shows the risk in doing research



An agreed safe, well studied, extremely rare GMO left over from earlier research nearly crippled Pacific Northwest trade in wheat, led to lawsuits

Op-Ed in Oregonian  
June 16, 2013

# The problem worse for most forest trees

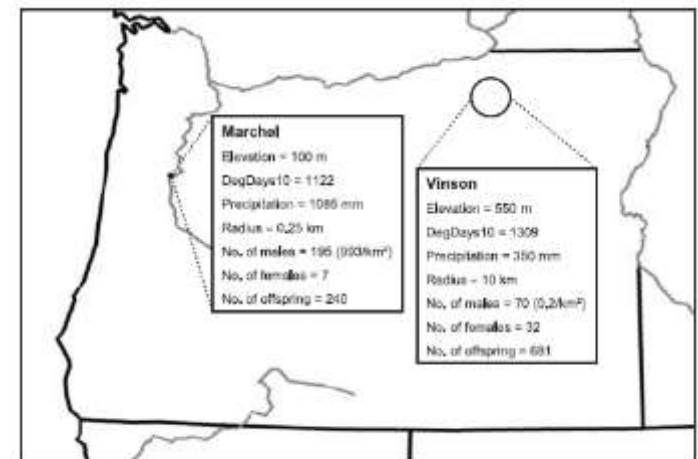
Molecular Ecology (2009) 18, 357–373

doi: 10.1111/j.1365-294X.2008.04016.x

## Extensive pollen flow in two ecologically contrasting populations of *Populus trichocarpa*

G. T. SLAVOV,\*†S. LEONARDI,‡J. BURCZYK,§W. T. ADAMS,¶S. H. STRAUSS¶  
and S. P. DIFAZIO\*

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# Gene dispersal during research and breeding a serious regulatory problem under USA system

Impedes or prevents complex trait testing, breeding with such genes

Articles

## **Far-reaching Deleterious Impacts of Regulations on Research and Environmental Studies of Recombinant DNA-modified Perennial Biofuel Crops in the United States**

STEVEN H. STRAUSS, DREW L. KERSHEN, JOE H. BOUTON, THOMAS P. REDICK, HUIMIN TAN,  
AND ROGER A. SEDJO

*October 2010 / BioScience*



An example of the perverse risks of  
presumption of harm, method-based  
regulation

The strange case of the upright summer catkin









© AP Photo/Rogelio V. Solls



# Proposed regulatory solutions

## Tiered regulation, product not process

GENETIC TECHNOLOGIES

# POLICY FORUM

## Genomics, Genetic Engineering, and Domestication of Crops

Steven H. Strauss

Genomic sequencing projects are rapidly revealing the content and organization of crop genomes (1). By isolating a gene from its background and deliberately modifying its expression, genetic engineering allows the impacts of all genes on their biochemical networks and organismal phenotypes to be discerned, regardless of their level of natural polymorphism. This greatly increases the ability to determine gene function and, thus, to identify new options for crop domestication (2). The organismal functions of the large majority of genes in genomic databases are unknown.

portant to agricultural goals, but poorly represented in breeding populations because they are rare or deleterious to wild progeni-

huge numerical obstacle that is normally provided by extant wild and domesticated gene pools. Despite the great diversity of genes that can comprise GGTs, many of the modified traits are familiar, having a long history of domestication and consequent reduced fitness through artificial selection. Male sterility, seedless fruits, delayed spoilage, and dwarf stature are familiar examples.

GGTs that improve abiotic stress tolerance

Confinement level	Type 1 field trials (exploratory)	Type 2 field trials (precommercial)	Examples
High	Biological and physical confinement—detailed data		Highly toxic or allergenic pharmaceuticals and proteins
Medium	FSC, basic data	FSC, detailed data	Novel pest-management genes, low toxicity pharmaceuticals and proteins
Stress tolerance	FSC, basic data	FSC, detailed data	Genomics-guided transgenes
Low	Domesticating		
	Petition for exemption?	FSC, basic data	

**Categories of confinement and monitoring for small- and large-scale transgenic field trials.**

Biological confinement includes genetic mechanisms to preclude spread and/or reproduction. Physical confinement requires use of geographical isolation or physical barriers. FSC, farm-scale confinement; use of spatial isolation within and between farms and border crops, combined with bringing. Detailed data include surveys of gene flow away from the site. Basic data include assessment of confinement mechanisms.

## Regulating transgenic crops sensibly: lessons from plant breeding, biotechnology and genomics

Kent J Bradford<sup>1</sup>, Allen Van Deynze<sup>1</sup>, Neal Gutterson<sup>2</sup>, Wayne Parrott<sup>3</sup> & Steven H Strauss<sup>4</sup>

The costs of meeting regulatory requirements and market restrictions guided by regulatory criteria are substantial impediments to the commercialization of transgenic crops. Although a cautious approach may have been prudent initially, we argue that some regulatory requirements can now be modified to reduce costs and uncertainty without compromising safety. Long-accepted plant breeding methods for incorporating new diversity into crop varieties, experience from two decades

Regulatory costs also play a role in the growing disparity between the expanding global adoption of the large-market transgenic maize, soybean, cotton and canola crops<sup>1</sup> and the so-called 'small-market' or 'specialty' crops, for which field trials and commercial releases of transgenic food crops have all but stopped<sup>3</sup>. In 2003, fruits, vegetables, landscape plants and ornamental crops accounted for more than \$50 billion in value in the United States, representing 47% of the total US farm crop income<sup>9</sup>. Of this, the only transgenic commodities currently mar-





# Suggested regulatory modifications

- Approved, familiar markers and gene transfer systems based on approvals in other crops
- Mutagenesis of transformation system
- Cisgenic transfers from similar or closely related species (e.g., within genus)
- Modification of expression of native genes and pathways (intragenic)
- Genome editing or mutagenesis
- Low level presence due to authorized research or asymmetric approvals in trade

# In summary

- Remarkable progress with transgenic trees on a wide variety of fronts
- Extraordinary regulatory barriers based on the process rather than the product
  - At odds with basic biology, breeding practice, genomic knowledge
  - USA National Academy of Sciences 1987  
“There is no evidence that unique hazards exist either in the use of rDNA techniques or in the movement of genes between unrelated organisms”
- Need for regulatory, certification changes to enable expanded research and breeding

Billions are struggling now, and it's a very scary future – agriculture and forestry of all kinds will become much more difficult





Billions are struggling now, and it's a very scary future – agriculture and forestry of all kinds are becoming much more difficult



# No-analog thinking

PALEOECOLOGY PALEOECOLOGY PALEOECOLOGY

475

## Novel climates, no-analog communities, and ecological surprises

John W Williams<sup>1\*</sup> and Stephen T Jackson<sup>2</sup>

No-analog communities (communities that are compositionally unlike any found today) occurred frequently in the past and will develop in the greenhouse world of the future. The well documented no-analog plant communities of late-glacial North America are closely linked to “novel” climates also lacking modern analogs, characterized by high seasonality of temperature. In climate simulations for the Intergovernmental Panel on Climate Change A2 and B1 emission scenarios, novel climates arise by 2100 AD, primarily in tropical and subtropical regions. These future novel climates are warmer than any present climates globally, with spatially variable shifts in precipitation, and increase the risk of species reshuffling into future no-analog communities and other ecological surprises. Most ecological models are at least partially parameterized from modern observations and so may fail to accurately predict ecological responses to these novel climates. There is an urgent need to test the robustness of ecological models to climate conditions outside modern experience.

*Front Ecol Environ* 2007; 5(9): 475–482, doi:10.1890/070037

**H**ow do you study an ecosystem no ecologist has ever seen? This is a problem for both paleoecologists and global change ecologists, who seek to understand ecological past or future, is heavily conditioned by our current observations and personal experience. The further our explorations carry us from the present

**“No-analog communities (communities that are compositionally unlike any found today) occurred frequently in the past and will develop in the greenhouse world of the future.”**



Are our regulations and certification systems worrying too much about the deck chairs on the Titanic, rather than providing tools for improved navigation of the ship?





Voltaire was right....



**The perfect is the enemy  
of the good**